Incomplete Application

Reply to Missing Parts

under 37 CFR 1.52 or 1.53

| • .                                 | MAN  Under 1995  Representation Act of 1995    | i. no perso   | U.S. F  | Patent and T  | rademarl      | PTO/SB/21 (09-04)<br>d for use through 07/31/2006. OM9 0651-0031<br>c Office; U.S. DEPARTMENT OF COMMERCE<br>unless it displays a valid OMB control number. |  |
|-------------------------------------|--|---|---|---|---------------|---|--|
| MADEMI                              |  |   | Application Number 10/655,994                       |   |               |   |  |
|                                     | TRANSMITTAL                                    |   | Filing Date   | 09/05/03  |               |   |  |
|                                     | FORM   |   | First Named Inventor                                |   | Tim Rausch    |   |  |
|                                     |  | Art Unit  |   | 2655  | 655           |   |  |
| (to                                 | o be used for all correspondence after initial | filina)   | Examiner Name                                       |   |               |   |  |
| (                                   | tal Number of Pages in This Submission         |   | Attorney Docket Number                              | SEAG 619  | SEAG 61979    |   |  |
|                                     |  | ENC   | LOSURES (Check all                                  | that apply  | <i>'</i> )    |   |  |
| <b>V</b>                            | Fee Transmittal Form                           |   | Drawing(s)  |   |               | After Allowance Communication to TC   |  |
|                                     | Fee Attached                                   |   | Licensing-related Papers                            |   |               | Appeal Communication to Board of Appeals and Interferences  |  |
|                                     | Amendment/Reply                                |   | Petition  |   |               | Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)  |  |
|                                     | After Final                                    |   | Petition to Convert to a<br>Provisional Application |   |               | Proprietary Information   |  |
|                                     |  | Power of Attorney, Revocation<br>Change of Correspondence A |   |   | Status Letter |   |  |
|                                     | Extension of Time Request                      |   | Terminal Disclaimer                                 |   | $\checkmark$  | Other Enclosure(s) (please Identify below):   |  |
|                                     | Express Abandonment Request                    |   | Request for Refund                                  |   |               | quest to Correct Inventorship Under 7 C.F.R. 1.48(a)  |  |
| Information Disclosure Statement CD |  | CD, Number of CD(s)   |   | - Statements from 3 inventors stating error in inventorship |               |   |  |
|                                     |  |   | Landscape Table on CD                               | )   |               | claration   |  |
|                                     | Certified Copy of Priority<br>Document(s)      | Rema  | ırks  |   |               | nsent of Assignee to Addition of nventors   |  |
|                                     | Reply to Missing Parts/                        |   |   |   | - Sta         | atement Under 37 CFR 3.73(b)  |  |

|              |                              | - Supplemental Application Data Sheet<br>- return postcard |
|--------------|------------------------------|--|
|              | SIGNATURE (                  | F APPLICANT, ATTORNEY, OR AGENT                            |
| Firm Name    | Pietragallo, Bosick & Gordon |  |
| Signature    | Robert P Jena                | £  |
| Printed name | Robert P. Lenart             |  |
| Date         | 03/11/2005                   | Reg. No. 30,654  |

- Assignment

Transmittal

- Processing Fee Under 37 CFR 1.17(i)

## **CERTIFICATE OF TRANSMISSION/MAILING**

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with

| the date shown below: | class mail in an envelope addressed to: Commissioner for Patents, P.O. Bo | X 1450, / | Alexandria, VA 22313-1450 on |
|-----------------------|---|-----------|------------------------------|
| Signature             | Robert P Zenart   |           |                              |
| Typed or printed name | Robert P. Lenart  | Date      | 03/11/2005                   |

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17i (11-04)

Approved for use through 07/31/2007. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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#### PROCESSING FEE Under 37 CFR 1.17(i) TRANSMITTAL

(Fees are subject to annual revision)

Send completed form to: Commissioner for Patents P.O. Box 1450, Alexandria, VA 22313-1450

| Application Number     | 10/655,994 |  |  |  |
|------------------------|------------|--|--|--|
| Filing Date            | 09/05/03   |  |  |  |
| First Named Inventor   | Tim Rausch |  |  |  |
| Art Unit               | 2655       |  |  |  |
| Examiner Name          |            |  |  |  |
| Attorney Docket Number | SEAG 61979 |  |  |  |

| Enclosed is a paper filed under 37 CFR $\frac{1.48(a)}{a}$ that represents the Payment of \$ 130 is enclosed.   | quires a processing fee (37 CFR 1.17(i)).   |
|---|---|
| This form should be included with the above-mentioned paper and faxed or mapplicable. For transmittal of petition fees under 37 CFR 1.17(f), (g) or (h), see  | nailed to the Office using the appropriate Mail Stop, if the form PTO/SB/17p.   |
| Payment of Fees (small entity amounts are NOT available for the petition  |   |
| The Commissioner is hereby authorized to charge the following for   | ees to Deposit Account No. 500859   |
| X processing fee under 37 CFR 1.17(i) X any defic Enclose a duplicative copy of this form for fee processing.   | ency of fees and credit of any overpayments   |
| Check in the amount of \$ is enc  | losed.  |
| Payment by credit card (Form PTO-2038 or equivalent enclosed  | ). Do not provide credit card information on this form.   |
| Processing Fees under 37 CFR 1.17(i): Fee \$130 Fee Code 180 Except for § 1   | 08 for all,<br>.221 papers (Fee Code 1803)  |
| For papers filed under:  § 1.28(c)(3) - for processing a non-itemized fee deficiency based on an error  § 1.41 - for supplying the name or names of the inventor or inventors after the by § 1.63, except in provisional applications.  § 1.48 - for correcting inventorship, except in provisional applications.  § 1.52(d) - for processing a nonprovisional application filed with a specificatio § 1.53(b)(3) - to convert a provisional application filed under § 1.53(c) into a r § 1.55 - for entry of late priority papers.  § 1.99(e) - for processing a belated submission under § 1.99.  § 1.103(b) - for requesting limited suspension of action, continued prosecution § 1.103(c) - for requesting limited suspension of action, request for continued § 1.103(d) - for requesting deferred examination of an application.  § 1.217 - for processing a redacted copy of a paper submitted in the file of an the patent application publication.  § 1.221 - for requesting voluntary publication or republication of an application § 1.291(c)(5) - for processing a second or subsequent protest by the same re § 1.497(d) - for filing an oath or declaration pursuant to 35 U.S.C. 371(c)(4) no entity set forth in the international stage.  § 3.81 - for a patent to issue to assignee, assignment submitted after payment | in in a language other than English. In application (§ 1.53(d)). In application (§ 1.114). In application in which a redacted copy was submitted for all party in interest. In aming an inventive entity different from the inventive |
| Nobert P Lanast   | 03/11/2005  |
| Signature   | Date<br>30,654  |
| Robert P. Lenart Typed or printed name  | Registration No., if applicable   |
|   |   |

This collection of information is required by 37 CFR 1.17. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 5 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

re Application of:

- FF

HEAT ASSISTED MAGNETIC

**RECORDING HEAD AND** 

**METHOD** 

Serial No.: 10/655,994

TIM RAUSCH ET AL.

Filed: September 5, 2003

Attorney Docket No. SEAG 61979

Group Art Unit: 2655

# REQUEST TO CORRECT INVENTORSHIP UNDER 37 C.F.R. § 1.48(a)

March 11, 2005

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Please correct the inventors for the subject patent application by adding the following previously unnamed persons as inventors in this application: William Albert Challener, Christophe Daniel Mihalcea, and Keith Mountfield.

#### Enclosed are:

- A statement from each person being added as an inventor that the error in inventorship occurred without deceptive intent on his part.
  - A Declaration of each of the actual inventors
  - A statement of consent to the change in inventorship by the assignee
  - Statement Under 37 CFR 3.73(b)
  - Assignment
  - A Supplemental Application Data Sheet adding three inventors.

Respectfully submitted,

Robert P Lenart

03/16/2005 MBERHE 00000025 500859 10655994 01 FC:1464 130.00 DA Robert P. Lenart Registration No. 30,654 Pietragallo, Bosick & Gordon One Oxford Centre, 38<sup>th</sup> Floor 301 Grant Street

Pittsburgh, PA 15219

Telephone No.: (412) 263-4399 Facsimile No.: (412) 261-0915

Attorney for Applicants

PTO/SB/17 (12-04v2) Approved for use through 07/31/2006. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

| Effective of 08/2004.  |  |                             | Complete if Known                                |                           |             |                           |                                 |
|--|--|-----------------------------|--|---------------------------|-------------|---------------------------|---------------------------------|
| Appropriations Act, 2000 (1774, 4070).   |  |                             | Application Nun                                  | nber                      | 10/655,994  |                           |                                 |
| FEE TRANSMITTAL For FY 2005  |  |                             | Filing Date                                      |                           | 09/05/03    |                           |                                 |
|  |  |                             | First Named Inventor Tim Rausch                  |                           |             |                           |                                 |
| Analisant a  |  | 4-4 C 27                    | OFD 4.07   | Examiner Name             | ,           |                           |                                 |
| Applicant c  | laims small entity s   | Tatus. See 37               | UFR 1.27   | Art Unit                  |             | 2655                      |                                 |
| TOTAL AMOUN  | IT OF PAYMENT  | (\$) 40                     |  | Attorney Docket           | l No.       | SEAG 61979                |                                 |
| METHOD OF  | PAYMENT (ched  | k all that app              | oly)   |                           |             |                           |                                 |
| Check  | Credit Card  | Money C                     | order No   | ne Other (p               | olease ider | ıtify):                   |                                 |
| X Deposit A  | ccount Deposit A   | ccount Number:_             | 500859   | Deposit Ac                | count Nar   | me: Pietraga              | allo                            |
| 3  | bove-identified dep  |                             |  |                           |             |                           |                                 |
| Xc   | narge fee(s) indicat   | ed below                    |  | Charg                     | e fee(s) i  | ndicated below, exc       | cept for the filing fee         |
|  | narge any additiona  |                             | erpayments of fe                                 | ee(s) X Credit            | any ove     | rpayments                 |                                 |
| WARNING: Inform  | ider 37 CFR 1.16 a<br>ation on this form m                     | ay become pub               | olic. Credit card in                             | _                         | -           | uded on this form. Pr     | ovide credit card               |
| information and a  | uthorization on PTO  | 2038.                       |  |                           |             |                           |                                 |
| FEE CALCUL   |  | =                           | · · · · · · · · · · · · · · · · · · ·            |                           |             |                           |                                 |
| 1. BASIC FILI  | NG, SEARCH, A  | <b>ND EXAMIN</b><br>NG FEES |  | RCH FEES                  |             | INIATION EEEC             |                                 |
|  |  | Small Ent                   | <u>ity</u>                                       | Small Entity              |             | INATION FEES Small Entity |                                 |
| <u>Application</u>   |  | _ : • • • • •               |  |                           | Fee (       |                           | Fees Paid (\$)                  |
| Utility  | 300  |                             | 500  | 250                       | 200         | 100                       |                                 |
| Design   | 200  |                             | 100  | 50                        | 130         |                           |                                 |
| Plant  | 200  |                             | 300  | 150                       | 160         | 00                        |                                 |
| Reissue  | 300  |                             | 500  | 250                       | 600         | 300                       | ·                               |
| Provisional  | 200  | 100                         | 0  | 0                         | 0           | 0                         |                                 |
| 2. EXCESS C<br>Fee Descripti   |  |                             |  |                           |             | Fee (\$)                  | Small Entity<br>Fee (\$)        |
|  | over 20 (includi   | ng Reissues)                |  |                           |             | 50                        | 25                              |
|  | endent claim ove   | r 3 (including              | g Reissues)                                      |                           |             | 200                       | 100                             |
|  | pendent claims   | 01-1 <b>-</b>               | (4) =  | D-14 (A)                  |             | 360                       | 180                             |
| <u>Total Claims</u>  | <u>Extra (</u><br>20 or HP =                                   | <u>Claims</u> <u>F</u><br>x | <u>ee (\$)                                  </u> | Paid (\$)                 |             | Multiple De<br>Fee (\$)   | pendent Claims<br>Fee Paid (\$) |
|  | mber of total claims p   |                             | than 20.   |                           |             | 1 55 (4)                  | ree raid (\$7                   |
| Indep. Claims  |  |                             |  | Paid (\$)                 |             | <del></del>               |                                 |
| - 3 or HP =x =<br>HP = highest number of independent claims paid for, if greater than 3.   |  |                             |  |                           |             |                           |                                 |
| 3. APPLICATION SIZE FEE If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer  |  |                             |  |                           |             |                           |                                 |
| listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50  |  |                             |  |                           |             |                           |                                 |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  |  |                             |  |                           |             |                           |                                 |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)  |  |                             |  |                           |             |                           |                                 |
| 4. OTHER FEE(S) Non-English Specification, \$130 fee (no small entity discount)  Fees Paid (\$)  |  |                             |  |                           |             |                           |                                 |
| _  | Other (e.g., late filing surcharge): Assignment Recordation 40 |                             |  |                           |             |                           |                                 |
|  | Annig Survii   | 6~/·                        | <u> </u>   |                           | -           |                           |                                 |
| SUBMITTED BY  Signature Registration No. 1 Telephone - 1 T |  |                             |  |                           |             |                           |                                 |
| 112-263-4399 (Attorney/Agent) 30,654   |  |                             |  | <sup>e</sup> 412-263-4399 |             |                           |                                 |
| Name (Print/Type) Robert P. Lenart   |  |                             |  |                           |             | Date 0                    | 3/11/2005                       |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

# MAR 1 4 2005 W

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

HEAT ASSISTED MAGNETIC

TIM RAUSCH ET AL.

RECORDING HEAD AND

METHOD

Serial No.: 10/655,994

Filed: September 5, 2003 : Attorney Docket No. SEAG 61979

Group Art Unit: 2655

#### STATEMENT OF WILLIAM ALBERT CHALLENER

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, William Albert Challener, hereby state that the error in inventorship of the subject patent application occurred without deceptive intention on my part.

Respectfully submitted,

William Albert Challener

William a. Thallener

March 2, 2005
(Date)



In re Application of:

HEAT ASSISTED MAGNETIC

TIM RAUSCH ET AL.

RECORDING HEAD AND

•

Serial No.: 10/655,994

METHOD

Filed: September 5, 2003

Attorney Docket No. SEAG 61979

Group Art Unit: 2655

•

#### STATEMENT OF CHRISTOPHE DANIEL MIHALCEA

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Christophe Daniel Mihalcea, hereby state that the error in inventorship of the subject patent application occurred without deceptive intention on my part.

Respectfully submitted,

Christophe Daniel Mihalcea

(Date)



In re Application of:

HEAT ASSISTED MAGNETIC

TIM RAUSCH ET AL.

RECORDING HEAD AND

METHOD

Serial No.: 10/655,994

994

Filed: September 5, 2003

Attorney Docket No. SEAG 61979

Group Art Unit: 2655

#### STATEMENT OF KEITH MOUNTFIELD

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

I, Keith Mountfield, hereby state that the error in inventorship of the subject patent application occurred without deceptive intention on my part.

Respectfully submitted,

Keith Mountfield

Keith Mountfield

March 2 2005
(Date)



PTO/SB/01A (09-04)
Approved for use through 07/31/2006, OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Reduction Act of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number.

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| Title of<br>Invention   | HEAT ASSISTED MAGNETIC RECORDING HEAD AND METHOD  |  |  |  |  |  |
|---|---|--|--|--|--|--|
| As the belo   | w named inventor(s), I/we declare that:   |  |  |  |  |  |
| This declara  | ation is directed to:   |  |  |  |  |  |
|   | The attached application, or  |  |  |  |  |  |
|   | Application No. 10/655,994, filed on September 5, 2003  |  |  |  |  |  |
|   | as amended on(if applicable);   |  |  |  |  |  |
| thus bolique  | e that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is  |  |  |  |  |  |
| sought;   | e that time and the disginal and hist inventor(s) of the subject matter which is defined and for which a patent is  |  |  |  |  |  |
|   | eviewed and understand the contents of the above-identified application, including the claims, as amended by any t specifically referred to above;  |  |  |  |  |  |
| I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application. |   |  |  |  |  |  |
| to be true, a punishable  | nts made herein of my/own knowledge are true, all statements made herein on information and belief are believed and further that these statements were made with the knowledge that willful false statements and the like are by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any ng thereon. |  |  |  |  |  |
| CIRL MARK   | FOR INITIALIZAÇÃO   |  |  |  |  |  |
|   | E OF INVENTOR(S)  |  |  |  |  |  |
| Inventor on   | e: Tim Rausch Date: Ob/O// 9  |  |  |  |  |  |
| Signature: _  | Citizen of: Canada  |  |  |  |  |  |
| Inventor two  | o: Ibrahim Kursat Sendur Date: 03/03/2005   |  |  |  |  |  |
| Signature: _  | Citizen of: Turkey  |  |  |  |  |  |
| Inventor thre   | ee: William Albert Challener Date: 3/1/2005   |  |  |  |  |  |
| Signature: _  | William a Challener Citizen of: United States of America  |  |  |  |  |  |
| Inventor fou  | ir: On Tiglophe Dappe Mihalcea 1 Date: 3/1/69   |  |  |  |  |  |
| Signature:  |   |  |  |  |  |  |
|   |   |  |  |  |  |  |
| Additi  | ional invertors or a legal representative are being named on additional form(s) attached hereto.  |  |  |  |  |  |

This collection of information is required by 35 U.S.C. 115 and 37 CFR 1.61. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



PTC/SB/01A (09-04)

Approved for use through 07/31/2005. OMB 0651-0032

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE of 1995, no persons are required to respond to a collection of information unless if displays a valid OMB control number.

# DECLARATION (37 CFR 1.63) FOR UTILITY OR DESIGN APPLICATION USING AN APPLICATION DATA SHEET (37 CFR 1.76)

| Title of Invention              | HEAT ASSISTED MAGNETIC RECORDING HEAD AND METHOD  |  |  |  |  |  |
|---------------------------------|---|--|--|--|--|--|
| As the belov                    | w named inventor(s), I/we declare that:   |  |  |  |  |  |
| This declara                    | ation is directed to:   |  |  |  |  |  |
|                                 | The attached application, or  |  |  |  |  |  |
|                                 | Application No. 10/655,994 , filed on September 5, 2003   |  |  |  |  |  |
|                                 | as amended on(if applicable);   |  |  |  |  |  |
| I/we believe sought;            | that I/we am/are the original and first inventor(s) of the subject matter which is claimed and for which a patent is  |  |  |  |  |  |
|                                 | eviewed and understand the contents of the above-identified application, including the claims, as amended by any specifically referred to above;  |  |  |  |  |  |
| material to p                   | I/we acknowledge the duty to disclose to the United States Patent and Trademark Office all information known to me/us to be material to patentability as defined in 37 CFR 1.56, including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT International filing date of the continuation-in-part application. |  |  |  |  |  |
| to be true, a                   | ats made herein of my/own knowledge are true, all statements made herein on information and belief are believed<br>nd further that these statements were made with the knowledge that willful false statements and the like are<br>by fine or imprisonment, or both, under 18 U.S.C. 1001, and may jeopardize the validity of the application or any<br>ng thereon.   |  |  |  |  |  |
| fi:<br>Inventor <del>-ons</del> | SOF INVENTOR(S)  ve  Keith Mountfield  Date: March 02, 2005  Citizen of: Canada   |  |  |  |  |  |
| Inventor two                    |   |  |  |  |  |  |
| Signature: _                    | Citizen of:   |  |  |  |  |  |
|                                 | e:  |  |  |  |  |  |
| Signature: _                    | Citizen of:   |  |  |  |  |  |
| Inventor four                   |   |  |  |  |  |  |
| Signature: _                    | Citizen of:   |  |  |  |  |  |
| Additio                         | onal inventors or a legal representative are being named on additional form(s) attached hereto.   |  |  |  |  |  |

This collection of information is required by 3S U.S.C. 115 and 37 CFR 1.63. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 1 minute to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



In re Application of:

HEAT ASSISTED MAGNETIC

TIM RAUSCH ET AL.

**RECORDING HEAD AND** 

**METHOD** 

Serial No.: 10/655,994

Filed: September 5, 2003

Attorney Docket No. SEAG 61979

Group Art Unit: 2655

#### **CONSENT OF ASSIGNEE TO ADDITION OF INVENTORS**

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

The Assignee, Seagate Technology LLC, of the subject patent application, as shown in an Assignment recorded at Reel 014474, Frame 0983, hereby consents to the correction of inventorship filed herewith to add the following three inventors: William Albert Challener, Christophe Daniel Mihalcea, and Keith Mountfield.

A Statement under 37 C.F.R. § 3.73(b) is enclosed.

Respectfully submitted,

Carol I. Bordas

Senior Patent Attorney

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| STATEMENT UNDER 37 CFR 3.73(b)   |  |  |  |
|--|--|--|--|
| Applicant/Patent-Owner, Tim Rausch et al.  |  |  |  |
| Application No. (Patent No.: 10/655,994 Filed/Hoove Date: September 5, 2003  |  |  |  |
| Entitled:  |  |  |  |
|  |  |  |  |
| Seagate Technology LLC , a Limited Liability Company (Name of Assignee) (Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)  |  |  |  |
| states that it is:  1.   |  |  |  |
| 2. an assignee of less than the entire right, title and interest.  The extent (by percentage) of its ownership interest is%  |  |  |  |
| in the patent application/patent identified above by virtue of either:   |  |  |  |
| A assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 014474 Frame 0983 , or for which a copy thereof is attached.   |  |  |  |
| OR  B. A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:   |  |  |  |
| From: To: To:  The document was recorded in the United States Patent and Trademark Office at  Reel, Frame, or for which a copy thereof is attached.  |  |  |  |
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| Additional documents in the chain of title are listed on a supplemental sheet.   |  |  |  |
| Copies of assignments or other documents in the chain of title are attached.  [NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08] |  |  |  |
| The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.  |  |  |  |
| Signature  |  |  |  |
| Carol I, Bordas (412) 918-7167   |  |  |  |
| Printed or Typed Name Telephone Number   |  |  |  |
| Senior Palent Attorney Title   |  |  |  |

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

#### **ASSIGNMENT**

Attorney Docket No.:

**SEAG 61979** 

Seagate Docket No.:

STL 11253

WHEREAS, we, TIM RAUSCH of 2467 Lakemont Drive, Gibsonia, PA 15044, U.S.A., a citizen of Canada, IBRAHIM KURSAT SENDUR of 6317 Marchand Avenue, Apt. 2, Pittsburgh, PA 15206 U.S.A., a citizen of Turkey, WILLIAM ALBERT CHALLENER of 104 Witherow Road, Sewickley, PA 15143, U.S.A., a citizen of the United States of America, CHRISTOPHE DANIEL MIHALCEA of 129 St. Joseph Way, Pittsburgh, PA 15203, U.S.A., a citizen of Germany, and KEITH MOUNTFIELD of 4901 Cypress Street, #3, Pittsburgh, PA 15224, U.S.A., a citizen of Canada, are the inventors of an invention entitled HEAT ASSISTED MAGNETIC RECORDING HEAD AND METHOD for which we filed an application for Letters Patent of the United States having Serial No. 10/655,994 and a filing date of September 5, 2003; and

WHEREAS, Seagate Technology LLC, a limited liability company organized and existing under the laws of the State of Delaware and the United States of America, and having offices at 920 Disc Drive, Scotts Valley, California, 95066 USA, ("Assignee") is desirous of acquiring the entire right, title and interest in and to the invention, the applications, and any and all Letters Patent or similar foreign or domestic legal protection;

NOW, THEREFORE, for good and valuable consideration, the receipt and adequacy of which is hereby acknowledged, I/we transfer to Assignee, its successors and assigns, my/our entire right, title and interest in and to the invention, the above-identified applications, all provisional applications from which any of the above-identified applications claim priority, corresponding domestic and foreign applications, any continuation, division, renewal, or substitute for the applications, all Letters Patent, any reissue, re-examination, or similar legal protection issuing related to the Letters Patent, and all rights and benefits under any applicable treaty or convention; and I/we authorize the Director of the United States Patent and Trademark Office or foreign equivalent to issue the Letters Patent or similar legal protection to the Assignee.

I/We authorize the Assignee, its successors and assigns, to insert in this instrument the filing date(s) and application numbers when ascertained. I/We further authorize the Assignee, its successors and assigns, or anyone it may properly designate, to apply for Letters Patent or similar legal protection, in its own name if desired, in any and all foreign countries and appoint Assignee the common representative in the above identified international application and any international application for the invention.

I/We represent to the Assignee, its successors and assigns, that I/we have not and shall not execute any writing or do any act whatsoever conflicting with this Assignment. I/We, my/our executors or administrators, will at any time upon request, without additional consideration, but at the expense of the Assignee, its successors and assigns, execute and deliver to Assignee or its legal representatives such additional writings and do such additional acts as the Assignee, its successors and assigns, may deem desirable to perfect its enjoyment of this grant, and render all assistance in making application for and obtaining, maintaining, and enforcing the Letters Patent or similar legal protection on the invention in any and all countries, including without limitation providing testimony in any related interference, litigation or proceeding.

TIM RAUSCH

Subscribed and sworn to before me at Allegheny County, a Notary Public, this  $9^{7/4}$  day of  $9^{7/4}$  day of  $9^{7/4}$  day of  $9^{7/4}$ 

Nøtary Public

(SEAL)

Notarial Seal Paula J. Watson, Notary Public City Of Pittsburgh, Allegheny County My Commission Expires Feb. 22, 2008

Member, Pennsylvania Association Of Notaries

Attorney Docket No. :

SEAG 61979

Seagate Docket No.:

STL 11253

|  | Külfat   |
|--|--|
|  | IBRAHIM KURSAT SENDUR  |
| Subscribed and sworn to before me at Allegheny County, 2005. | a Notary Public, this $\frac{3^{23}}{}$ day of $\frac{MACCh}{}$  |
|  | Joula J Watson   |
| (SEAL)   | Notarial Seal Paula J. Watson, Notary Public City Of Pittsburgh, Allegheny County My Commission Expires Feb. 22, 2006  |
|  | Member, Pennsylvania Association Of Notarles   |
|  |  |
|  | WILLIAM ALBERT CHALLENER   |
| Subscribed and sworn to before me at Allegheny County,       | a Notary Public, this day of   |
| 2005.  | Laula J Wasson<br>Notary Public  |
| (SEAL)   | Notarial Seal Paula J. Watson, Notary Public City Of Pittsburgh, Allegheny County My Commission Expires Feb. 22, 2006 Member, Pennsylvania Association Of Notaries |
|  | On Juliela   |
|  | CHRISTOPHE DANIEL MIHALCEA   |
| Subscribed and sworn to before me at Allegheny County, 2005. | a Notary Public, this 11 day of 10000  |
|  | Taule I Works  |
| (SEAL)   | Notarial Seal Paula J. Watson, Notary Public City Of Pittsburgh, Allegheny County  |
|  | My Commission Expires Feb. 22, 2006  Member, Pennsylvania Association Of Notaries  |

Attorney Docket No.:

**SEAG 61979** 

Seagate Docket No.:

STL 11253

Subscribed and sworn to before me at Allegheny County, a Notary Public, this 24 day of MARLA 2005. 2005.

(SEAL)

Notarial Seal Paula J. Watson, Notary Public City Of Pittsburgh, Allegheny County My Commission Expires Feb. 22, 2006.

Member, Pennsylvania Association Of Notaries